



IF (DAC)

MAIL STOP: PETITION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN THE APPLICATION OF: C. D. TIPTON, S. LAHIRI, AND M. R. BAKER

DOCKET NO.: 3202R

CUSTOMER NUMBER: 26645

SERIAL NO.: 10/645,373

EXAMINER: V. RONESI

FILED: AUGUST 2, 2003

GROUP ART UNIT: 1796

TITLE: MULTIFUNCTIONAL DISPERSANTS

ATTENTION: ATTORNEY PAUL SHANOSKI

Wickliffe, Ohio  
Dated: August 27, 2008

Mail Stop Petition  
Commissioner for Patents  
United States Patent and Trademark Officer  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RENEWED PETITION PURSUANT TO 37 C. F. R. § 1.181(A)**

**TO WITHDRAW HOLDING OF ABANDONMENT**

The Undersigned hereby renews his petition that the holding of abandonment set forth in the notice of abandonment dated June 16, 2008 be withdrawn. The Undersigned expresses appreciation to Mr. Shanoski for the explanation of additional materials that are required, as set forth in the Decision on the petition filed June 20, 2008. Further, the Undersigned regrets that the evidence provided electronically in connection with that petition was received in illegible form. Accordingly, the same evidence is presented herewith by way of letter in paper form, along with additional evidence as requested by Mr. Shanoski.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, United States Patent & Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450 on:

August 28, 2008  
Date of Deposit

David M. Shold  
Deposited by: David M. Shold # 31664

09/03/2008 WABDEL1 00000026 122275 10645373  
01 FC:1453 1540.00 DA

Substantiation of Petition; details

The Undersigned failed to receive the communication mailed December 5, 2007, concerning Notice of Non-Compliant Amendment.

As evidence of the non-receipt of the communication, please refer, first, to the printouts from the electronic docket system of the Assignee, which were previously submitted in the petition of June 20, 2008, but were illegible as then received and thus not considered. These documents are re-presented in a more legible paper form, and for reference, a typed-out version is also presented. Reconsideration is respectfully requested. These printouts indicate that the Notice and the one-month period for response were not entered. The first paper shows all the docket entries for docket number 3202 in the U.S. (that is, 3202R, as the "R" is not shown in this docket record). The latest entries relate to the office action of June 7, 2007, for which the 6 month final term is noted as December 7, 2007 and to which a response is noted as having been submitted on December 3. There are no subsequent entries (as of the date on which the docket system was queried, June 12, 2008). Note that the number of total action dates is listed as 20, and none are shown after the December 7, 2007 date, the 20<sup>th</sup> entry. This is confirmed by the second paper, which is a printout of a "screen view" showing the same data. The list of due dates is seen to be fully scrolled to the bottom and the December 7 entry is the last. This docket system is maintained by Lubrizol's docket clerk, and all mailings from the USPTO are received by her for docketing.

Further, in the Decision on Petition, Mr. Shanoski indicated that the following items should be supplied:

1. A description of the system used for recording office actions including the electronic docketing system, to indicate that it is sufficiently reliable.
2. Indication of performance of a search of Petitioner's records
3. A copy of the master docket, or statement that no such master docket exists.

1. Description of system. The Lubrizol Corporation uses the CPI computer docket system. CPI is the system of Computer Packages Inc. Patent Management Systems, which is one of the foremost docketing systems and is widely known and respected. The docket system is thus maintained electronically, rather than in a written log of entries. Information from the system is accessible by look-up at individual desks as well as by means of individual action dockets that are printed and distributed on a monthly basis or more frequently on request.

Docketing information for US cases is entered into the CPI system by our senior docket clerk, Ms. Cathy Bartel. Ms. Bartel has 23 years of experience in this position.

She has informed me, and it is consistent with my experience, that it is extremely rare (perhaps one instances in the past ten years, out of thousands of entries) that any properly addressed office action is not correctly entered into the docket, once it is actually received by Lubrizol. The Office Action in question was "properly addressed," that is, it was addressed to "Attn: Docket Clerk, Patent Dept."

The actual routing of such mail received within Lubrizol is that it is initially delivered to the mail box of the legal assistant of the Undersigned, Ms. Nancy Dedek, who then forwards such docketing materials to Ms. Bartel. After the office action is docketed, Ms. Bartel prominently marks the due dates for response thereon and returns them to Ms. Dedek, who delivers them to the appropriate attorney, normally rubber banded to the appropriate case file.

2. Indication of search of records: Upon receipt of the notice phone call from Examiner Ronesi on June 12, advising of the abandonment, I, the Undersigned, personally examined the tri-fold file folder that contains the record for Application 10/645,373, case number 3202R. There was no paper contained therein or rubber banded thereto advising of the non-compliant amendment. (The Undersigned subsequently personally printed out a copy of the notice from PAIR, and that is presently in the folder, with the notation "Printed from Public PAIR 6/12/08."). The last official correspondence in the file as of June 12 was a copy of the Petition for Extension of Time and Amendment, dated November 30, 2007 and submitted electronically December 3, 2007.

On or about the same day, June 12, the Undersigned personally conducted an electronic inquiry of the CPI system. This inquiry included doing a search on the "invention search" screen for "3202\*" (\* being a wildcard) in the "case number" field, then selecting the "applications" tab and clicking on the line for the US application. Thereafter I selected the screen for "actions" and selected "all actions." The resulting list of actions is the list that was printed out in two formats (directly and by "print screen) and submitted in the Petition of June 20.

Further, I consulted with Dr. Calvin Schroeck, one of our technical advisors, which is very familiar with the CPI docketing system, for advice on how best to conduct a thorough search and to present the results of the search of the data base. It is my recollection that at that time I also inquired of both my legal assistant, Ms. Nancy Dedek, and our docket clerk, Ms. Cathy Bartel, inquiring if they had come across any documents relating to this case that had not been docketed or entered into the file folder, or if any due dates might be located in any other fields in connection with this record. As I recall, they indicated that they were aware of none. I have now repeated this inquiry, for assurance, and received the same response.

I have now, upon further search, located a copy of my docket of due items that was generated by the CPI system and mailed to me electronically on January 3, 2008. This docket covers the time period beginning around December 2007 through 29 Feb 2008, when the outstanding office action would have been listed. I attach a printout, from which the titles of the applications have been deleted for confidentiality reasons. The due dates are arranged chronologically (upper left corner of each entry). There are three items that were due on January 5, but none of them refer to the present application. There are no entries at all for the present application, US 10/645,373 (see "App Number" near top right of each entry). See attached copy.

It is believed that there was also at that time another action docket prepared by the CPI system and sent by e-mail to Ms. Dedek, listing all of the cases with actions due for all of the "Lubrizol Additives" division of The Lubrizol Corporation. Upon conferring with Ms. Bartel and Ms. Dedek, we believe that all copies of that e-mail have been deleted over the past 8-9 month and thus they are not available without the extreme difficulty of regenerating long deleted e-mail files, if indeed that is possible. The docket report itself cannot be readily regenerated in a form predated to January. I am convinced, however, that this slightly more complete docket would merely confirm the conclusions reached by examining all the other docket reports and presentations, namely, that there is no record of having received the office action.

3. Copy of Master Docket As indicated above, the master docket for The Lubrizol Corporation is maintained in electronic form, so there is no written master docket as such, and the docket as it exists today is not the same as it was in December and January. However, it is believed that the docket printouts supplied above will provide acceptable equivalent evidence.

A **substantive response** was submitted along with the Petition of June 20, 2008, and consideration of that response is respectfully requested at this time.

It is believed that no fee is due in connection with this petition. However, any required fees or any deficiency or overpayment in fees should be charged or credited to deposit account 12-2275 (The Lubrizol Corporation).

**CONDITIONAL PETITION TO REVIVE FOR UNAVOIDABLE  
ABANDONMENT 37 CFR 1.137(A).**

If and only if the above petition is still deemed to be inadequate, please consider this petition to revive under Rule 137(a). It is believed that the evidence submitted above will be adequate to show to the satisfaction of the Director that the entire delay in

filing the required reply was unavoidable. Since the Undersigned was not aware of the outstanding office action, because it had not been received, the failure to reply was unavoidable.

In the event that this Petition under rule 137(a) is required, please charge the required fee under 1.17(l), believed to be \$510.00, to deposit account 12-2275 (The Lubrizol Corporation)

**CONDITIONAL PETITION TO REVIVE FOR UNINTENTIONAL  
ABANDONMENT 37 CFR 1.137 (B)**

If and only if both of the above petitions are deemed to be inadequate, please consider this petition to revive under Rule 137(b). The entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional.

In the event that this Petition under rule 137(a) is required, please charge the required fee under 1.17(m), believed to be \$1540.00, to deposit account 12-2275 (The Lubrizol Corporation).

Respectfully submitted,



Phone: (440) 347-1601

Telefax: (440) 347-1110

n:\...3202lus renewed petition re aband.doc

The Lubrizol Corporation

29400 Lakeland Blvd.

Wickliffe, OH 44092

David M. Shold

Attorney for Applicant

Reg. No. 31,664

New

Copy

Search

Save

Print

Delete

Undo

Case Number: 3202 \*

Country: US \*

Sub C

Display: ☐ Outstanding Actions Only☒ All Actions

20 Action(s) Due	Due Date	Indicator	Action Taken
FILING ACK	24-Nov-2003	Due Date	17-Nov-2003
Information Disclosure Stmt	24-Nov-2003	Due Date	24-Aug-2003
Foreign Filing	24-Aug-2004	Final	19-Aug-2004
3 Month Due Date	18-Feb-2006	Due Date	13-Feb-2006
1st Extension	18-Mar-2006	Reminder	13-Feb-2006
2nd Extension	18-Apr-2006	Reminder	13-Feb-2006
6 Month Final	18-May-2006	Final	13-Feb-2006
3 Month Due Date	26-Jul-2006	Due Date	23-Oct-2006
1st Extension	26-Aug-2006	Reminder	23-Oct-2006
2nd Extension	26-Sep-2006	Reminder	23-Oct-2006
6 Month Final	26-Oct-2006	Final	23-Oct-2006
2 Month Due Date	08-Mar-2007	Due Date	05-Apr-2007
Notice of Appeal	08-Apr-2007	Due Date	05-Apr-2007
1st Extension	08-May-2007	Reminder	05-Apr-2007
2nd Extension	08-Jun-2007	Reminder	05-Apr-2007
6 Mo Final/File RCE	08-Jul-2007	Final	05-Apr-2007
3 Month Due Date	07-Sep-2007	Due Date	03-Dec-2007
1st Extension	07-Oct-2007	Reminder	03-Dec-2007
2nd Extension	07-Nov-2007	Reminder	03-Dec-2007
6 Month Final	07-Dec-2007	Final	03-Dec-2007

User ID: dlsz

Date Created: 22-Aug-2003

Last Update: 17-May-2007

Go To:

Invention

Generate Letter

PatCS

Open

To

Q

Q

Re

Pa

Mu

Nk

Gr

Fa

Country Applications

New

Copy

Search

Save

Print

Delete

Undo

Exit

Case Number: 3202

Country: US

Sub Case:

Case Info

Remarks

Actions

Assignments

Letters

Costs

Designation/Family

Annualities

Images/Links

Web Links

Display:

Outstanding Actions Only

All Actions

20 Action(s) Due	Due Date	Indicator	Action Taken
2nd Extension	08-Jun-2007	Reminder	05-Apr-2007
2nd Final/Final FID	08-Jul-2007	Final	05-Apr-2007
3 Month Due Date	07-Sep-2007	Due Date	03-Dec-2007
1st Extension	07-Dec-2007	Reminder	03-Dec-2007
2nd Extension	07-Nov-2007	Reminder	03-Dec-2007
3 Month Final	07-Dec-2007	Final	03-Dec-2007

Record: 14 of 20

User ID: 1052

Date Created: 22-Aug-2005

Last Update: 17-Mar-2007

Go To:

Invention

Generate Letter

Record: 14 of 5 (Filtered)

Record: 1 of 1 (Filtered)

- ~ In the event that the initial two docket pages presented remain illegible when scanned in by the USPTO, I present the data therefrom retyped into the table below:

Table 1:

New Copy Search Save Print Delete Undo  
Case Number: 3202 Country: US Sub Case

Display: Outstanding Actions Only • All Actions

20 Action(s) Due	Due Date	Indicator	Action Taken
FILING ACK	21-Nov-2003	Due Date	17-Nov-2003
Information Disclosure Stmtnt	21-Nov-2003	Due Date	21-Aug-2003
Foreign Filing	21-Aug-2004	Final	19-Aug-2004
3 Month Due Date	18-Feb-2006	Due Date	13-Feb-2006
1 <sup>st</sup> Extension	18-Mar-2006	Reminder	13-Feb-2006
2 <sup>nd</sup> Extension	18-Apr-2006	Reminder	13-Feb-2006
6 Month Final	18-May-2006	Final	13-Feb-2006
3 Month Due Date	26-Jul-2006	Due Date	23-Oct-2006
1 <sup>st</sup> Extension	26-Aug-2006	Reminder	23-Oct-2006
2 <sup>nd</sup> Extension	26-Sep-2006	Reminder	23-Oct-2006
6 Month Final	26-Oct-2006	Final	23-Oct-2006
2 Month Due Date	08-Mar-2007	Due Date	05-Apr-2007
Notice of Appeal	08-Apr-2007	Due Date	05-Apr-2007
1 <sup>st</sup> Extension	08-May-2007	Reminder	05-Apr-2007
2 <sup>nd</sup> Extension	08-Jun-2007	Reminder	05-Apr-2007
6 MoFinal/File RCE	08-Jul-2007	Final	05-Apr-2007
3 Month Due Date	07-Sep-2007	Due Date	03-Dec-2007
1 <sup>st</sup> Extension	07-Oct-2007	Reminder	03-Dec-2007
2 <sup>nd</sup> Extension	07-Nov-2007	Reminder	03-Dec-2007
6 Month Final	07-Dec-2007	Final	03-Dec-2007



DMS

Thursday, January 03, 2008

## Due Date List By Date

Page: 1

01-Jan-2004 To 29-Feb-2008

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
19-Dec-2007	Validate in EP Countries List	3267 / 01	Granted	05727194.2-2109	07-Sep-2006	DMS
Due Date	Response Due	European Patent Convention		1730202	19-Dec-2007	
<b>Business Group(s):</b>		<b>Title:</b>				DMS
HD	Heavy Duty Diese					
VM	Viscosity Modifie					
28-Dec-2007	Final Deadline	2839	Published	99305649.8-2104	16-Jul-1999	DMS
Final	EP - Rule 51(4)	European Patent Convention				JLC
<b>Business Group(s):</b>		<b>Title:</b>				
HD	Heavy Duty Diese					
PCMO	Passenger Car Mo					
30-Dec-2007	File Observations	3012 / 01	Opposed	02257642.5	05-Nov-2002	DMS
Final	EP - Rule 57(1)	European Patent Convention		1308496	14-Jun-2006	
<b>Business Group(s):</b>		<b>Title:</b>				DMS
ATF	Automatic Transm					
<b>Remarks:</b> 8-3-07 - Per Jo Bradley, deadline can be extended at their expense if needed.						
03-Jan-2008	Section 8[2] Details	3413 / 01	Published	5118/DELNP/2007	03-Jul-2007	DMS
Due Date	IN - Section 8(2) (6mo9mo)	India				WEL
<b>Business Group(s):</b>		<b>Title:</b>				WEL
PCMO	Passenger Car Mo					

Thursday, January 03, 2008

# Due Date List By Date

Page: 2

01-Jan-2004 To 29-Feb-2008

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
03-Jan-2008	Section 8[2] Details	3414 / 01	Pending	5124/DELNP/2007	03-Jul-2007	DMS
Due Date	IN - Section 8(2) (6mo9mo)	India				WEL
<b>Business Group(s):</b>		<b>Title:</b>				WEL
ATF	Automatic Transr					
GO	Gear Oils					
PCMO	Passenger Car Mo					
VM	Viscosity Modifie					
04-Jan-2008	Response to Rule 96(2)	2953 / 01	Pending	00930347.0	02-Nov-2002	DMS
Due Date	EP - RULE 96(2)	European Patent Convention				
<b>Business Group(s):</b>		<b>Title:</b>				DMS
ATF	Automatic Transr					
04-Jan-2008	Response to Article 96(2)	3139	Pending	03721617.3	13-Dec-2004	DMS
Due Date	EP - Article 96(2) - 4 months	European Patent Convention				
<b>Business Group(s):</b>		<b>Title:</b>				DMS
ATF	Automatic Transr					
04-Jan-2008	Request to Record HK	3265	Published	05812393.6	10-Apr-2007	CDH
Due Date	EP-PCT Request to Record HK	European Patent Convention				
<b>Business Group(s):</b>		<b>Title:</b>				DMS
PCMO	Passenger Car Mo					
05-Jan-2008	w/Extension of Time	3265	Published	2581/DELNP/2007	05-Apr-2007	CDH
Final	IN - Section 8(2) (6mo9mo)	India				
<b>Business Group(s):</b>		<b>Title:</b>				DMS
PCMO	Passenger Car Mo					

Page: 3

01-Jan-2004 To 29-Feb-2008

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
05-Jan-2008 Final	FULL APPLICATION DEADLINE	3492 United States of America	Pending	60/883608	05-Jan-2007	DMS
<b>Business Group(s):</b>						
HD	Heavy Duty Diese					CDH
<b>Remarks:</b> FF - PCT						
PREVIOUS DECISIONS: CA, EP (DE, FR, GB), IN, JP, SG, US						
05-Jan-2008 Final	FOREIGN FILING	3492 United States of America	Pending	60/883608	05-Jan-2007	DMS
<b>Business Group(s):</b>						
HD	Heavy Duty Diese					CDH
<b>06-Jan-2008</b>						
Due Date	Provide Countries/Approve Text EP - Rule 51(4)	3167 / 01 European Patent Convention	Pending	03741871.2	04-Jan-2005	DMS NAD NAD
<b>Business Group(s):</b>						
PCMO	Passenger Car Mo					
<b>06-Jan-2008</b>						
Due Date	File Translations/Divisional EP - Rule 51(4)	3167 / 01 European Patent Convention	Pending	03741871.2	04-Jan-2005	DMS NAD NAD
<b>Business Group(s):</b>						
PCMO	Passenger Car Mo					
<b>06-Jan-2008</b>						
Priority	File Block Extension SG - Opt Block Ext/Slow Track	3356 / 01 Singapore	Pending	200702419-3	30-Mar-2007	DMS WEL WEL
<b>Business Group(s):</b>						
MD	Marine Diesel Eng					

Thursday, January 03, 2008

# Due Date List By Date

01-Jan-2004 To 29-Feb-2008

Page: 4

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
08-Jan-2008 Final	Response to Written Opinion PCT- Written Opn - Transmittal	3443 / 01 Patent Cooperation Treaty	Published	US07/062631	23-Feb-2007	DMS
<b>Business Group(s):</b>						
HD	Heavy Duty Diesel	Title: [REDACTED]				
08-Jan-2008 Final	Demand Due from Transmittal WO-DEMAND DUE (Transmittal Dt)	3443 / 01 Patent Cooperation Treaty	Published	US07/062631	23-Feb-2007	DMS
<b>Business Group(s):</b>						
HD	Heavy Duty Diesel	Title: [REDACTED]				
12-Jan-2008 Final	6 Month Final US-3 MONTH OFFICE ACTION	3130 / 01 United States of America	Published	10/492638	14-Apr-2004	DMS
<b>Business Group(s):</b>						
PCMO	Passenger Car Mo	Title: [REDACTED]				
13-Jan-2008	3-Month Response w/ext JP - 3-Month Response	2761 Japan	Pending	10-343491	02-Dec-1998	DMS
<b>Business Group(s):</b>						
VM	Viscosity Modifie	Title: [REDACTED]				
14-Jan-2008 Reminder	2nd Extension US-FINAL OFFICE ACTION	3218 United States of America	Published	10/621154	16-Jul-2003	DMS
<b>Business Group(s):</b>						
ATF	Automatic Transr	Title: [REDACTED]				

Thursday, January 03, 2008

Due Date List By Date

Page: 5

01-Jan-2004 To 29-Feb-2008

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
17-Jan-2008 Final	Appeal Brief Due	2727 Japan	PENDING	8-242393	12-Sep-1996	DMS
<b>Business Group(s):</b>						
PCMO	Passenger Car Mo					
19-Jan-2008	Response Due	3356 / 01	Pending	11/576611	04-Apr-2007	DMS
Due Date	US-MISSING PARTS	United States of America				WEL
<b>Business Group(s):</b>						
MD	Marine Diesel Eng					WEL
20-Jan-2008	Section 8[1] Details	3421-01	Pending	7251/DELNP/2007	20-Sep-2007	DMS
Due Date	IN - SECTION 8[1] DETAILS	India				
<b>Business Group(s):</b>						
HD	Heavy Duty Diese					DMS
PCMO	Passenger Car Mo					
21-Jan-2008	Final Deadline	3034	Pending	02721686.0	19-Nov-2003	DMS
Final	EP - Rule 51(4)	European Patent Convention				
<b>Business Group(s):</b>						
HD	Heavy Duty Diese					DMS
RD	Corporate Researc					
22-Jan-2008	3 Month Due Date	3233 / 01	Published	11/085022	16-Mar-2005	DMS
Due Date	US-3 MONTH OFFICE ACTION	United States of America				
<b>Business Group(s):</b>						
HD	Heavy Duty Diese					DMS
PCMO	Passenger Car Mo					
VM	Viscosity Modifie					

Thursday, January 03, 2008

# Due Date List By Date

01-Jan-2004 To 29-Feb-2008

Page: 6

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
22-Jan-2008	3 Month Due Date US-3 MONTH OFFICE ACTION	3383 United States of America	Published	11/000658	01-Dec-2004	DMS
<b>Business Group(s):</b>						
PCMO	Passenger Car Mo	[REDACTED]				
24-Jan-2008	Oral Proceedings EP - RULE 71(1) EPC	3044 European Patent Convention	Pending	01979888.3	11-Apr-2003	DMS NAD NAD
<b>Business Group(s):</b>						
HD	Heavy Duty Diese	[REDACTED]				
PCMO	Passenger Car Mo	[REDACTED]				
RD	Corporate Researc	[REDACTED]				
24-Jan-2008	Oral Proceedings EP - RULE 71(1) EPC	3049 / 01 European Patent Convention	Pending	01959640.2	11-Apr-2003	DMS NAD NAD
<b>Business Group(s):</b>						
HD	Heavy Duty Diese	[REDACTED]				
PCMO	Passenger Car Mo	[REDACTED]				
25-Jan-2008	Oral Proceedings EP - RULE 71(1) EPC	3043 European Patent Convention	Pending	01970908.8	11-Apr-2003	DMS NAD NAD
<b>Business Group(s):</b>						
HD	Heavy Duty Diese	[REDACTED]				
PCMO	Passenger Car Mo	[REDACTED]				
RD	Corporate Researc	[REDACTED]				

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
25-Jan-2008	Priority	3385 / 01 Singapore	Pending	200702873-1	18-Apr-2007	DMS WEL WEL
<b>Business Group(s):</b>						
ATF	Automatic Transr					
GO	Gear Oils					
VM	Viscosity Modifie					
TC	Two Cycle Engine					

27-Jan-2008	Final	Chapter II/ Exam Fee Due	3467 / 01 Patent Cooperation Treaty	Published	US07/064900	26-Mar-2007 DMS
<b>Business Group(s):</b>						
HD	Heavy Duty Diese					
PCMO	Passenger Car Mo					WEL

30-Jan-2008	FOREIGN FILING	3455	Pending	60/887192	30-Jan-2007	DMS
Final		United States of America				
Business Group(s):		Title:				
ATF	Automatic Transr					
		Remarks:	FF - PCT			

30-Jan-2008	Final	FULL APPLICATION DEADLINE	3455 United States of America	Pending	60/887192	30-Jan-2007 DMS
<b>Business Group(s):</b>						
ATF	Automatic Transr					

Thursday, January 03, 2008

# Due Date List By Date

Page: 8

01-Jan-2004 To 29-Feb-2008

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
02-Feb-2008 Final	Voluntary Amendment - 3 months CN - Voluntary Amendment-3 mo.	3265 China (Peoples Republic)	Published	200580034843.2	12-Apr-2007	CDH
<b>Business Group(s):</b>						
PCMO	Passenger Car Mo	[REDACTED]				
<b>Business Group(s):</b>						
ATF	Automatic Transr	[REDACTED]				
GO	Gear Oils	[REDACTED]				
PCMO	Passenger Car Mo	[REDACTED]				
VM	Viscosity Modifie	[REDACTED]				
04-Feb-2008 Due Date	Response Due-Completed PCT Response Due	3414 / 01 China (Peoples Republic)	Pending	200580048298.2	21-Aug-2007	DMS WEL WEL
<b>Business Group(s):</b>						
ATF	Automatic Transr	[REDACTED]				
GO	Gear Oils	[REDACTED]				
PCMO	Passenger Car Mo	[REDACTED]				
VM	Viscosity Modifie	[REDACTED]				
06-Feb-2008 Final	Response to Written Opinion PCT- Written Opn - Transmittal	3451 / 01 Patent Cooperation Treaty	Published	US06/060707	09-Nov-2006	DMS WEL
<b>Business Group(s):</b>						
MD	Marine Diesel Enj	[REDACTED]				
FP	Fuel Products - Gr	[REDACTED]				
06-Feb-2008 Final	Demand Due from Transmittal WO-DEMAND DUE (Tranmittal Dt)	3451 / 01 Patent Cooperation Treaty	Published	US06/060707	09-Nov-2006	DMS WEL
<b>Business Group(s):</b>						
MD	Marine Diesel Enj	[REDACTED]				
FP	Fuel Products - Gr	[REDACTED]				



Thursday, January 03, 2008

# Due Date List By Date

01-Jan-2004 To 29-Feb-2008

Page: 9

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
07-Feb-2008	Response Due	3171 / 01	Pending	200600625-8	01-Feb-2006	DMS
Due Date		Singapore				
Title: [REDACTED]						
Business Group(s):						
HD	Heavy Duty Diese					DMS
PCMO	Passenger Car Mo					
RD	Corporate Researc					
08-Feb-2008	Response w/Extension	3218	Published	04777253.8-2104	01-Feb-2006	DMS
Due Date	EP - RULE 96(2)	European Patent Convention				WEL
Title: [REDACTED]						
Business Group(s):						
ATF	Automatic Transr					DMS
Remarks: Scanned copy of von Kreisler letter dated October 11, 2007 to DMS sent to WE - October 16, 2007						
09-Feb-2008	3 Month Due Date	3361	Published	10/968417	19-Oct-2004	DMS
Due Date	US-3 MONTH OFFICE ACTION	United States of America				
Title: [REDACTED]						
Business Group(s):						
ATF	Automatic Transr					DMS
10-Feb-2008	Response Due	3372 / 01	Pending	11/572867	29-Jan-2007	DMS
Due Date	US-MISSING PARTS	United States of America				WEL
Title: [REDACTED]						
Business Group(s):						
MD	Marine Diesel Enj					WEL
VM	Viscosity Modifie					
12-Feb-2008	Demand Due from Priority Date	3470 / 01	Published	US07/066371	11-Apr-2007	DMS
Final	WO-DEMAND DUE (Priority Date)	Patent Cooperation Treaty				
Title: [REDACTED]						
Business Group(s):						
ATF	Automatic Transr					DMS

Thursday, January 03, 2008

# Due Date List By Date

Page: 10

01-Jan-2004 To 29-Feb-2008

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
12-Feb-2008	Response to Written Opinion	3470 / 01	Published	US07/066371	11-Apr-2007	DMS
Priority	PCT - Written Opn - Priority					
Business Group(s):		Title:				DMS
ATF	Automatic Transr					
14-Feb-2008	6MoFinal/File RCE	3218	Published	10/621154	16-Jul-2003	DMS
Final	US-FINAL OFFICE ACTION	United States of America				WEL
Business Group(s):		Title:				DMS
ATF	Automatic Transr					
14-Feb-2008	Assignment Due	3401 / 01	Unfiled			DMS
Due Date		Brazil				
Business Group(s):		Title:				DMS
FT	Farm Tractor					
14-Feb-2008	Section 8[2] Details	3417 / 01	Pending	6332/DELNP/2007	14-Aug-2007	DMS
Due Date	IN - Section 8(2) (6mo9mo)	India				
Business Group(s):		Title:				DMS
GO	Gear Oils					
HD	Heavy Duty Diese					
PCMO	Passenger Car Mo					
16-Feb-2008	Voluntary Amendment - 3 months	3356 / 01	Published	200580040097.8	23-May-2007	DMS
Final	CN - Voluntary Amendment-3 mo.	China (Peoples Republic)				WEL
Business Group(s):		Title:				WEL
MD	Marine Diesel Enj					

Thursday, January 03, 2008

# Due Date List By Date

Page: 11

01-Jan-2004 To 29-Feb-2008

Due Date Indicator	Action Due Action Type	Case Number/SubCase Country	Status	App Number Pat Number	App/Eff Date	Attys
19-Feb-2008	1st Extension	3356 / 01	Pending	11/576611	04-Apr-2007	DMS
Reminder	US-MISSING PARTS	United States of America				WEL
<b>Business Group(s):</b>						
MD	Marine Diesel Eng					WEL

Title: [REDACTED]

22-Feb-2008	1st Extension	3233 / 01	Published	11/085022	16-Mar-2005	DMS
Reminder	US-3 MONTH OFFICE ACTION	United States of America				
<b>Business Group(s):</b>						
HD	Heavy Duty Diese					DMS
PCMO	Passenger Car Mo					
VM	Viscosity Modific					

Title: [REDACTED]

22-Feb-2008	1st Extension	3383	Published	11/000658	01-Dec-2004	DMS
Reminder	US-3 MONTH OFFICE ACTION	United States of America				
<b>Business Group(s):</b>						
PCMO	Passenger Car Mo					DMS

Title: [REDACTED]

23-Feb-2008	3-Month Response w/ext	2813	Pending	10-331777	20-Nov-1998	DMS
Final	JP - 3-Month Response	Japan				
<b>Business Group(s):</b>						
IPG	IPG					DMS
MW	Metal Working					

Title: [REDACTED]

Remarks: DMS requested 3-month extension of time on November 12, 2007 - not received in Brecksville

Acknowledgment from Yamamoto to DMS - November 13, 2007